

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214

lc@lahcoc.com

THOMAS V. SMURZYNSKI RALPH A. LOREN GIULIO A. DeCONTI, JR. ANN LAMPORT HAMMITTE ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO KEVIN J. CANNING JANE E. REMILLARD Deann FORAN SMITH PETER C. LAURO JEANNE M. DIGIORGIO DEBRA J. MILASINCIC, Ph.D. NICHOLAS P. TRIANO, III DAVID J. RIKKERS DAVID R. BURNS JOHN S. CURRAN

SEAN D. DETWEILER
CYNTHIA L. KANIK, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICHA NAND
MICHAEL PHILLIPPS \*
LISA M. DIROCCO

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL JEREMIAH LYNCH WILLIAM A. SCOFIELD, JR.

PATENT AGENTS
PETER S. STECHER
THEODORE R. WEST

November 13, 2001

TECHNICAL SPECIALISTS

MARIA LACCOTRIPE ZACHARAKIS, Ph.D.\*
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
EUIHOON LEE
JENNIFER K. ROSENFIELD
JUDITH STONE-HULSLANDER, Ph.D.
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
ASHITA DOSHI, Ph.D.
JACOB G. WEINTRAUB

\* Admitted in NY only
\*\* Passed the Patent Bar Examination



Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application No.: 09/772,103

ANTIBODIES AGAINST CTLA4 AND USES THEREFOR

Inventor: Carreno, Beatriz M. et al.

Filed: January 26, 2001 Our Ref. No.: GNN-009CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Information Disclosure Statement:
- 2. PTO Form 1449;
- 3. Copies of references cited in PTO Form 1449; and
- 4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

Morrouker 13, 200

Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted, LAHIVE & COCKFIELD, LLP

Megan F. Williams, Esq. Registration No. 43,270 Attorney for Applicants RECEIVED

JAN 0 4 2002

3CH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 1702

O | P In re the application of: Carreno, Beatriz M. et al.

JAN 0 2 2002 Serial No.: 09/772,103

iled: January 26, 2001

For: ANTIBODIES AGAINST CTLA4 AND USES

**THEREFOR** 

Attorney Docket No.: GNN-009CP

Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 1645

Examiner:

Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Date of Signature and of Mail Deposit

By:

Megan E. Williams, Esq. Registration No. 43,270

**Attorney for Applicants** 

## **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicants and their attorney have become aware of the following publications and information, including an International Search Report mailed May 16, 2001 during the prosecution of PCT/US01/02653 which corresponds to the above referenced application. In accordance with 37 CFR §1.97, Applicants hereby submit these publications for the Examiner's consideration. These publications are cited on the enclosed PTO Form 1449, and a copy of the Report and each publication cited thereon are enclosed as well.

Serial Number: 09/772, Page -2-



Group Art Unit: 1645

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted, LAHIVE & COCKFIELD, LLP

Megan E. Williams, Esq. Registration No. 43,270

Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: Naventee 13, 2001

AEM/MEW/SYH/alf Enclosures